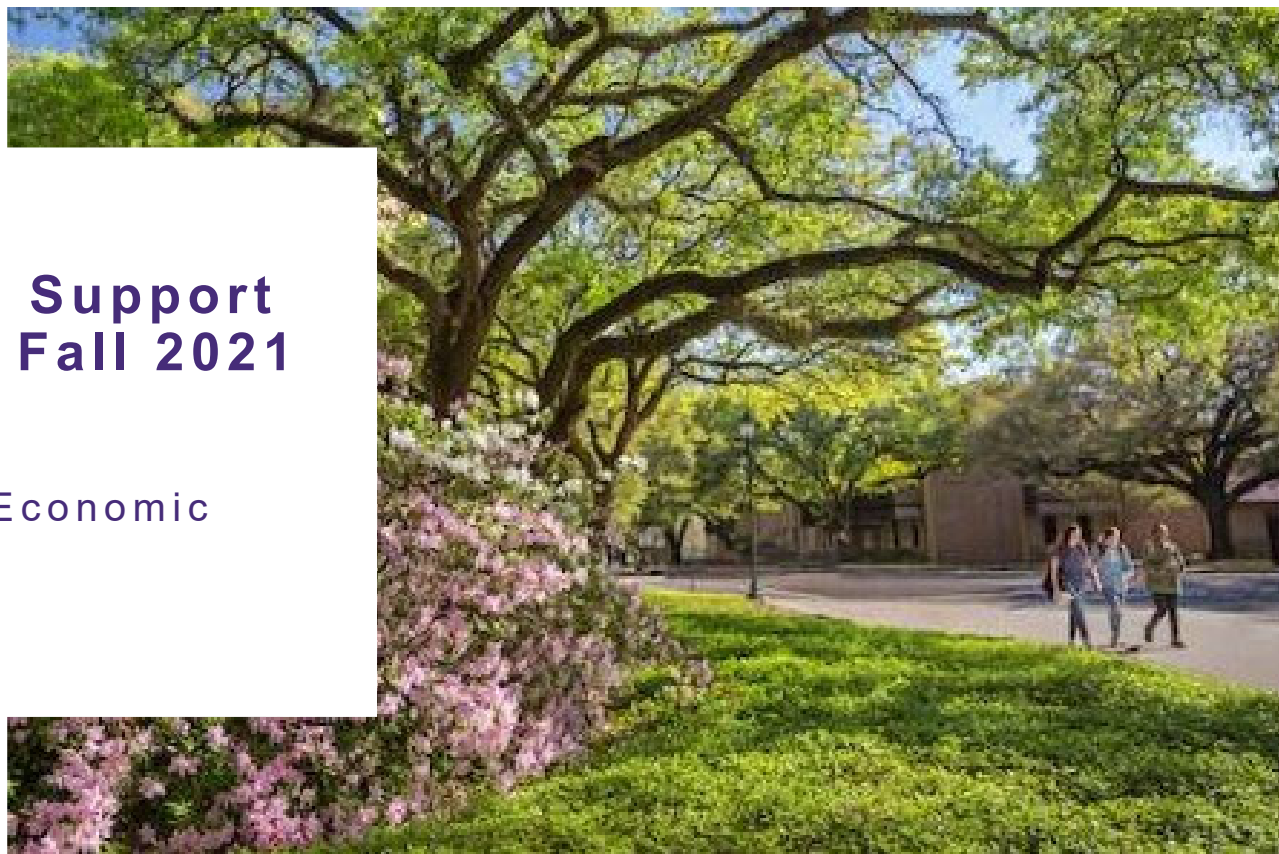




## **Board of Regents Support Fund Programs – Fall 2021**

Office of Research & Economic  
Development



# LSU Agenda

- Overview of Board of Regents Support Fund programs
- Budgetary Considerations
- Deadlines
- Submission Procedures
- Available Resources
- Q&A

# LSU Available Funding Programs

- Research Competitiveness Subprogram (RCS/RCS 1-YR)
- Industrial Ties Research Subprogram (ITRS)
- Proof-of-Concept/Prototype (P-o-C/P)
- Departmental Enhancement (ENH)
- Awards to Louisiana Artists & Scholars (ATLAS)



## Eligible Disciplines per Program

Program	2021-22 Eligible Disciplines
<b>RCS One-Year Research Component and Research Competitiveness Subprogram (RCS)</b>	Biological, Computer/Information, Earth/Environmental, and Health/Medical Sciences  Engineering B: Industrial, Materials, Mechanical, etc.  Chemistry
<b>Industrial Ties Research Subprogram (ITRS) and Proof of Concept/Prototype Initiative (P-o-C/P)</b>	Targeted Industry Sectors (preference given):  Advanced Materials and Manufacturing  Life Sciences and Bioengineering  Digital Media and Enterprise Software  Coastal and Water Management  Clean Technology and Energy
<b>Comprehensive Enhancement (ENH) and Targeted Enhancement (ENH)</b>	Engineering B: Industrial, Materials, Mechanical, etc.  Biological, Health/Medical Sciences, Agricultural, and Social Sciences  Physics, Astronomy  Humanities  Non-Disciplinary-Based Workforce
<b>Awards to LA Artists and Scholars (ATLAS)</b>	Creative Arts  Humanities  Social Sciences



## Research Competitiveness Subprogram (RCS)

### RCS One-Year Research Component (RCS 1-YR)

- Build/strengthen fundamental research base and competitiveness of Louisiana's universities.
- RCS is a stimulus program for those at the threshold of becoming competitive for federal funding.
- RCS 1-YR provides short-term pilot awards to support faculty on a limited basis in their exploration of novel science and engineering research leading to federal support.

## **LSU** RCS & RCS 1-YR Eligibility

- Only full-time tenured or tenure-track faculty may act as PI or co-PIs
- RCS – preference is given to junior researchers.
- Faculty may serve as a PI or co-PI on one RCS or RCS 1-YR grant at any time.
  - This limits includes current RCS or RCS 1-YR awards.
  - Individuals whose RCS or RCS 1-YR ended 6/30/2021 must ensure the award is fully closed out prior to submission of an NOI.
  - Contact Sponsored Program Accounting for early close-out.



## RCS & RCS 1-YR Budget Requirements

- RCS: Maximum of \$200,000 over a three-year period.
  - May request support for salary up to 25% academic year plus two months summer support, students and other personnel, travel, supplies, equipment, etc.
- RCS 1-YR: Maximum of \$20,000 for a one-year period.
  - Investigator salary support is not allowed on RCS 1-YR.
  - May request student personnel, travel, supplies, equipment, etc.
- Indirect Costs
  - Indirect cost rate of 25% will be permitted only on salaries, wages, and fringe benefits (SWF).
  - If provided as institutional match, indirect costs may be calculated using the submitting institution's federally negotiated rate (48% MTDC).



## RCS & RCS 1-YR Budget Requirements (cont.)

- The Support Fund R & D program is **not** an equipment grants program.
  - Equipment may be requested only in the context of the particular research initiative proposed and the request must contain, at a minimum, a cash match equal to or greater than 25% of the total cost of the requested equipment and must be provided by the applicant's employing institution.
- Reviewers may at their discretion recommend that a project not be funded or be funded at a reduced level based on the amount of its matching commitments. Therefore **voluntary cost sharing is allowed** on support fund programs without special approvals.





## Industrial Ties Research Subprogram (ITRS) & Proof-of-Concept/Prototype (P-o-C/P) Initiative

- ITRS funds research projects with significant near-term potential for development and diversification of Louisiana's economic base.
- All proposals submitted in the ITRS subprogram should show evidence of involvement of the private sector.
- P-o-C/P funds science and engineering proposals that enable proof-of-concept activities and/or prototype development with research commercialization and technology transfer potential.
-

## **LSU** ITRS & P-o-C/P Eligibility

- Full-time tenured, tenure-track or research professors may can apply as PI/co-PI.
- May serve as a PI or co-PI on a maximum of two ITRS, two P-o-C/P, or a combination of two projects at any one time.
  - This limits includes current ITRS and P-o-C/P awards and awards that ended 6/30/2021.
  - Individuals with multiple awards must ensure one award is fully closed out prior to submission of an NOI.
  - Contact Sponsored Program Accounting for early close-out.



## ITRS & P-o-C/P Budget Requirements

- ITRS: Maximum of \$350,000 over a three-year period. The total request for the first year may not exceed \$150,000, and the total request for each successive year may not exceed \$100,000.
  - May request support for PI salary up to 25% academic year plus two months summer support, students and support personnel, travel, supplies, equipment, etc.
- P-o-C/P: No applicant may seek less than \$10,000 or more than \$40,000 for a one-year period.
  - May request PI salary support not to exceed 1 month academic year or summer support, students and other personnel, travel, supplies, etc.



## ITRS & P-o-C/P Budget Requirements (cont.)

- ITRS: required to have an “up front” matching commitment from the private/federal sector for at least the first year of the request. A plan for subsequent-year matching must be addressed in the budget.
- All equipment requests require a cash match equal to or greater than 25% of the total cost of the requested equipment.
- Must use BoR indirect cost rate of 25% SWF on requested funds. (48% MTDC allowed on institutional match.)

## **LSU** Departmental Enhancement (ENH)

- The Departmental Enhancement program funds projects that enhance the infrastructure of academic, research, or agricultural departments/units and promote economic development. (Revised 2017-2018)
- Projects should be designed to propel departments forward and enhance existing offerings and foci in accordance with the role, scope, mission, and strategic priorities of the institution and current and prospective direction(s) of the affected department(s)/unit(s).
- Proposals should clearly indicate how project objectives are linked to the highest academic, research or training priorities of the affected department(s) and institution as well as how BoRSF funds will increase the capacity and quality of research, education, and/or training available to faculty and students.

## **LSU** Departmental Enhancement (ENH)

- Project Types: Comprehensive Enhancement and Targeted Enhancement
- Comprehensive ENH proposals provide significant enhancement to address multiple departmental priorities or holistic departmental approaches and support the institutional role, scope and mission through a variety of means.
- Targeted ENH proposals provide focused enhancement that addresses a critical departmental priority and reflects the institutional role, scope and mission through a concentrated but tangibly effective effort (e. g., purchase of major equipment)



## Comp. ENH & Targeted ENH Eligibility

- Only faculty members employed by an eligible Louisiana institution of higher education may act as PI or co-PIs.
- Comprehensive ENH:
  - Each eligible department/academic unit is limited to one Single Discipline submission
  - Each eligible department/academic unit may also participate with other units in up to one campus-wide proposal submitted under the Multidisciplinary category
  - Academic units with active Comprehensive ENH may not apply
- Targeted ENH:
  - An academic unit is not limited in number of proposals, but must provide a rank-order list of all proposals submitted on its behalf (Institutional Review Committee)



## Comp. ENH & Targeted ENH Eligibility (cont.)

- Proposals may be submitted in the category of Research, Education, or Workforce.
- Administrative, support and athletic units are not eligible to submit.
- Academic unit's mission statement, data on the unit's current situation, and previous BOR support fund awards for academic unit for past 5 years is required.





## Comp. ENH & Targeted ENH Budget Requirements

- Comprehensive ENH
  - Maximum of \$1Million for projects lasting up to five years. The total request for the first year may not exceed \$300,000, and the total request for each subsequent year may not exceed \$200,000.
- Targeted ENH
  - Maximum of \$200,000 for one year.
- Predominately supports equipment and/or supplies.
- Faculty and/or staff salary support is **strongly discouraged**.
  - Partial salary support may be requested, and may not exceed 25% of academic-year salary plus two months of summer support.



## Comp. ENH & Targeted ENH Budget Requirements (cont.)

- Graduate and/or undergraduate student support may be provided as graduate assistantship or student workers when working on the project. Student support can also be provided in the form of fellowship or scholarship support if it is aligned with project goals and objectives.
  - Discuss fellowship/scholarships with OSP early in the process.
- Indirect costs are **not** allowed.
  - If provided as institutional match, indirect costs may be calculated using the submitting institution's federally negotiated rate (48% MTDC for research, 49% MTDC for instruction).



## Awards to Louisiana Artists and Scholars (ATLAS)

- Provides support for major scholarly and artistic productions with potential to have a broad impact on a regional and/or national level.
- Proposed activities should enable the applicant to seek publication and/or public presentation of the supported work within a limited period of time.
- **Eligibility**
  - Open to faculty members employed by an eligible Louisiana institution of higher education may apply.
  - All projects in Creative Arts, Humanities, and Social Sciences are eligible.

# LSU ATLAS Budget Requirements

- Maximum of \$50,000 over a one-year period.
- Academic-year salary requests may not exceed 50% of the PI's academic-year salary
- Leave equivalent to the salary requested must be guaranteed by the institution for all projects requesting salary support from the Board.
  - A letter from the Dean/Director and OSP Executive Director detailing and pledging time to be provided as faculty release or leave with pay must accompany the proposal.



## ATLAS Budget Requirements (cont.)

- The submitting institution is required to match on a 1:1 basis any request for salary support from the ATLAS subprogram.
- Summer salary support (50%) may be requested provided institutional matching requirements are met.
- Funds for expenses such as student assistants, publication costs, travel, and supplies are also allowed.

# LSU Special Budgetary Considerations

- Budget spreadsheets for each program are available on the OSP website
  - Since BoR limits F&A on Support Fund programs, the spreadsheets will automatically calculate unrecovered F&A as institutional match.
- Enhancement spreadsheet includes an additional worksheet to complete when requesting Other Costs (e.g. salaries, travel, consultants, etc.)
- Simplified budgets are entered in GeauxGrants.



## Special Budgetary Considerations for Graduate Assistants

- The BOR requires PI's to maintain support for graduate assistants at the level proposed in the original budget for each year of the project. Prior approval is required to decrease support and is highly scrutinized by BOR.
- 12 month vs. 9 month students. If you propose to pay a student \$18k over 9 months the Board expects the student to receive a monthly salary of at least \$2k for 9 months.
- BoRSF disallows GA tuition remission as requested funds but allows it as match. If support for a GA is requested, the spreadsheet will automatically calculate unrecovered GA tuition remission as institutional match on academic salary only.
  - GA's not enrolled in summer classes do not have associated tuition remission, so we will no longer propose summer tuition remission as cost sharing.



## NOI/Proposal Deadlines

Program	NOI Deadlines	OSP Proposal Routing Deadline	BoR/LOGAN Proposal Submission Deadline
Comprehensive ENH & Targeted ENH	Internal NOI form to be submitted to <a href="mailto:osp@lsu.edu">osp@lsu.edu</a> : 9/7	October 11	October 25 at 4:30pm
ITRS & P-o-C/P	NOI uploaded to LOGAN and released to OSP: 9/7 BoR submission: 9/13 at 4:30pm	October 18	November 1 at 4:30pm
RCS & RCS 1-YR	NOI uploaded to LOGAN and released to OSP: 9/7 BoR submission: 9/13 at 4:30pm	October 25	November 8 at 4:30pm
ATLAS	NOI uploaded to LOGAN and released to OSP: 10/4 BoR submission: 10/11 at 4:30pm	November 8	November 22 at 4:30pm



# LSU Step One – Submitting the Notice of Intent (NOI)

- NOIs for ITRS, P-o-C/P, RCS, RCS 1-YR, and ATLAS are submitted via LOGAN (Board of Regents Proposal Submission System).
  - They are not routed through LSU's GeauxGrants.
- An internal NOI Form is required for Comprehensive and Targeted ENH.
- OSP Contacts for NOI submissions
  - Dana Tuminello: Enhancement (dimpson@lsu.edu)
  - Eric Hale: ITRS and P-o-C/P (ehale5@lsu.edu)
  - Danielle Cintron: RCS and RCS 1-YR (dcintron@lsu.edu)
  - Tiffany Wesley: ATLAS (twesley@lsu.edu)

# LSU Step Two – Submitting the Proposal

1. Route budget and justification in LSU's GeauxGrants\*.
  - Enables electronic review and approval of proposal documents by:
    - Departments/units and Colleges
    - Special approvals (IRB, IACUC, HRM, etc.)
    - OSP
2. Submit Significant Financial Interest Disclosure in GeauxGrants, if needed
3. Upload final proposal in Board of Regents LOGAN\* system for submission

\* Note these are separate systems.

# LSU Available Resources – Board of Regents

- Board of Regents Website (RFPs, FAQs, Consultant's Reports, LOGAN) <https://web.laregents.org/>
- Review Consultant Reports and request copies of up to 5 previously funded proposals.
  - Requests should include the following information: Full Proposal Number, Proposal Program/Subprogram, Proposal submission cycle, Proposal Title, PI First Name and Last Name, Proposal Institution.
- Contact the program officer (last date to ask questions is Oct. 15)
  - Bryan Jones – Enhancement
  - Carrie Robison – ATLAS
  - Zenovia Simmons – RCS, RCS One-Year, P-o-C/P & ITRS
- BOR Frequently Asked Questions page:  
[https://web.laregents.org/downloads\\_page/frequently-asked-question/](https://web.laregents.org/downloads_page/frequently-asked-question/)

# LSU BOR – Webinar and Ask a Program Manager

- <https://web.laregents.org/borsf-online-informational-sessions-fy-2021-22-competitive-cycle/>
- September 2 @ 10am General Information Webinar hosted by BOR
- Ask the Program Manager
  - August 26 – RCS, ITRS and PoC/P
  - September 13 and 20 – Department ENH
  - September 8 and 15 – ATLAS
  - Must sign-up for time slot on website

# LSU Available Resources – Office of Sponsored Programs

<https://www.lsu.edu/osp/proposals/bor-programs/bor-support-fund-programs-21-22.php>

- GeauxGrants Board of Regents Support Fund Proposal Help Guide
- At-a-Glance program overviews
- Notice of Intent instructions
- Enhancement Notice of Intent forms and timeline
- Deadlines
- Budget Worksheets
- Budget Justification templates
- BOR Biosketch templates
- BOR Current and Pending Support templates
- Links to BOR RFPs and FAQs



## OSP Contacts Submitting the Proposals

	Purple Team		Gold Team	
	Specialist	Email	Specialist	Email
ENH	Danielle Cintron	<a href="mailto:dcintron1@lsu.edu">dcintron1@lsu.edu</a>	Larry Andrews	<a href="mailto:landrews@lsu.edu">landrews@lsu.edu</a>
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RCS 1-Year	Danielle Cintron	<a href="mailto:dcintron1@lsu.edu">dcintron1@lsu.edu</a>	Danielle Cintron	<a href="mailto:dcintron1@lsu.edu">dcintron1@lsu.edu</a>
ATLAS	Tiffany Wesley	<a href="mailto:twesley@lsu.edu">twesley@lsu.edu</a>	Larry Andrews	<a href="mailto:landrews@lsu.edu">landrews@lsu.edu</a>

# LSU Questions ?

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