This is the official syllabus for this course. Read it carefully! Click on any of the chapters to jump to the section you want to read. You can print this book by clicking on the link under the Table of Contents.
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Welcome to the Course!

Principles in Records Management
Application of systematic and scientific controls to recorded information; life-cycle concept, legal requirements and implication of technology, as well as records inventory, appraisal, classification, retention, protection, and disposition.

About Your Instructor
Instructor: Emilie Gagnet Leumas, PhD, CA, CRM
Phone: (225) 931-8706
eleumas@lsu.edu

Office hours: TBA

Dr. Leumas is a certified archivist and certified records management. She has actively practiced in these fields for more than 20 years at nonprofit organizations. Dr. Leumas holds leadership positions in several national and international organizations including holding a seat on the Executive Board of the International Council on Archives. She has authored three books and numerous articles on archival research methods, archives management, records management, disaster recovery Louisiana French and Louisiana Catholic Church history. Dr. Leumas earned her master's degree in Education from the University of New Orleans and her doctorate in French Studies from LSU.

About This Course
This course is designed to introduce some basic concepts and principles of records management and their applications to the operation of an organization's records management program.

Watch the following short course introduction to get an idea of what we will cover. If you have any questions, please email your instructor.

LIS 7604 Principles of Records Management overview

[YouTube Video]
Requests for support should be directed to the appropriate resources depending on the nature of the support requested. Please review the options below when requesting support while taking your course.

1. **Content or general course questions.** If you have questions about course content or about the course in general, use the following resources in "Announcements, Ask Your Instructor, and Q & A Forum" section of the course:
   - the office hours listed under "Ask Your Instructor"
   - the Q & A Forum at the bottom of the section

   Read the instructions in each activity before contributing.

2. **Personal progress questions.** If you have questions regarding your course progress, grades, or other issues of a personal nature, you should send an email to your course instructor.

3. **Technical questions or issues.** If you have any technical problems or questions, email the ITS Help Desk at servicedesk@lsu.edu or call them at (225) 578-3375. Be sure to include your name, course number, and section in your contact.
Course Outcomes and Module Learning Objectives

This course covers the following specific measurable outcomes and learning objectives. All assessments are aligned to these outcomes and objectives.

Course Outcomes
When you complete this course, you will be able to:

Module Topics and Learning Objectives
1. Explain the fundamental principles of records and information management.
2. Analyze current issues related to records and information management.
3. Identify the scope, elements, and objectives of records and information management.
4. Summarize the impact of electronic information management systems on organization’s total information needs.
5. Summarize the needs for different management tools for active, inactive, vital and archival records.
6. Discuss the concept of the records life cycle.
7. Evaluate legal requirements associated with the retention of records.

The following is a breakdown of module topics and their associated learning objectives.

Module 1: Records Management as a Business Practice: an introduction to basic concepts and the history of records management.
1. Define key terms and basic concepts of records management (CO3).
2. Identify the phases of the records life cycle (CO7).
3. Describe how records and information management (RIM) closely aligned with other information management disciplines (CO1, CO2).
4. Identify elements of RIM program (CO1, CO3).
5. Identify the U.S. legal definition of a record (CO7).

Module 2: Records Inventories, Retention Schedules and Disposition
1. Define key terms and basic concepts in records inventories, retention and disposition (CO1, CO3).
2. Prepare a records inventory (CO2, CO3).
3. Prepare a retention schedule (CO2, CO3).
4. Apply retention schedules (CO2, CO3).
5. Distinguish between records, non-records and personal files (CO1, CO2).
6. Identify the economic and legal benefits of a records retention program (CO7).

Module 3: Managing inactive records: records centers, archives and long-term digital preservation
1. Define what is an inactive record (CO1, CO3).
2. Identify the benefits of records centers (CO1, CO2, CO3).
3. Identify factors when choosing a commercial records storage vendor (CO3, CO5).
4. Describe micrographic imaging, its advantages and disadvantages (CO2, CO5).
5. Describe digital imaging, its advantages and disadvantages (CO2, CO5).
6. Identify different varieties of archives and the types of material they preserve. (CO2, CO5, CO6)
7. Prepare a technical brief (CO2)

Module 4: Vital Records and Disaster Planning
1. Define what is a vital record (CO1, CO3, CO5).
2. Identify types of vital records (CO3, CO5).
3. Distinguish between an emergency and a disaster (CO1, CO3).
4. Identify types of disasters (CO1, CO2, CO3).
5. Identify elements of emergency management and disaster preparedness (CO1, CO2, CO3).

Module 5: Managing active records and born-digital documents: Filing basics and classification plans
1. Identify the factors and tools that contribute to records retrieval (CO1, CO3, CO5).
2. Identify the typical duties in an active filing room (CO1, CO3, CO5).
3. Distinguish between direct and indirect access file classification systems (CO3, CO5).
4. Define the principles of classification (CO1, CO2, CO3, CO4, CO5).
5. Describe the advantages of digital documents (CO4, CO5).
6. Use file naming conventions on active and inactive records (CO2, CO4, CO5).

Module 6: Email and social media challenges in records management
1. Describe the challenges in applying records management principles to email (CO2, CO4, CO5).
2. Distinguish between IT and RM approaches to email (CO2, CO4, CO5).
3. Describe the challenge of capturing and cataloguing social media (CO2, CO4).
4. Explain NARA's Capstone method (CO2, CO4).
5. Analyze different records management scenarios (CO4, CO5).

Module 7: Records and Information Management Programs: managing people, resources, processes and programs.
1. Define the general management principles: planning, organizing, directing, controlling and staffing (CO1, CO3, CO4, CO5).
2. Identify decision making steps, models, approaches and tools (CO1, CO2, CO3, CO4, CO5).
3. Explain the fundamental concepts and methods on how to manage financial physical and informational resources (CO1, CO4, CO5).
4. Identify the elements of staff and HR management (CO1, CO4, CO5).
5. Identify the principles and steps of project management (CO1, CO4, CO5).
6. Define the purposes and elements of RIM policies and procedures (CO1, CO4, CO5).
Materials and Resources

Required Materials

The following textbooks are required for this course:


LSU Bookstore has ordered copies of these books, please visit online, on campus, or contact them by phone at 225-578-5137.

Supplemental Materials

The following materials are suggested as supplemental to the course material. Each module contains specific material from this list. These items can be accessed through the LSU Libraries website, you can type each article's title within quotation marks in the search box, and run the search. I have provided links to other resources to make your search easier.


ARMA RIM Core Competencies, 2nd edition


Seven Best Practices for Email Records Management (3 parts): Blog #1 Blog #2 Blog #3.


Technical Information

Moodle runs on Windows, Linux, iOS, Android, or any device with a web browser. For information on browsers, please visit the LSU ITS Web Browser Recommendation page.

Google Chrome and Firefox are the recommended browsers for Moodle 3 at LSU. We also recommend that you have Adobe Flash installed and enabled, and that Javascript is enabled.

Hardware Recommendations
The following are helpful for completing your coursework and remote testing:
• A headset with microphone
• A webcam

Printing Your Course Material
Use one of the following methods to print module content:
• See printing options using Firefox
• Use the print commands in Moodle to print full books.
• Embedded PDFs contain printing functionality.
• Select Ctrl + P from your computer’s keyboard.
• If you have added a print icon shortcut on your browser’s toolbar, click the icon to print content.
Grading Scale and Course Work

Your grade in this course will be determined by the specific activities and assessments described in this syllabus. In the following subchapters you will find details about each type of activity and assessment, as well as the grade breakdown and grading scale. Specific expectations for each graded item are included within these subchapters. Make sure you read all of the instructions! Please note that all due dates and times are in Central Standard Time (CST).

Each module requires a minimum of approximately twelve to thirteen hours of in-class work (viewing and completing activities in Moodle) and approximately six to seven hours of out-of-class work (readings, research, study time). This means you will complete approximately nineteen hours of total work per week.

Special note:

Federal Financial Aid regulations require the confirmation of course participation in order for students to receive financial aid. In this course, this is achieved by documenting student completion of an academic activity by the last day to add courses for credit or change sections, which is usually the fifth day of class. This activity is designated "AEA" in Module 1. Failure to complete this activity within this time period may result in a delay in the disbursement of financial aid funds.

There is also a required academic activity due on the last day of class to ensure student engagement throughout the full term.
Grade Breakdown and Grading Scale

GRADING POLICIES
For all assignments, there will be a due time set up by the instructor. If the student encounters a situation and foresees that she or he may not be able to submit the assignment on time, the student should contact the instructor as soon as possible. The instructor will assess the situation and consider alternative options for the student, including granting a reasonable extension of the deadline. Student work submitted late without an excuse acceptable to the instructor will be downgraded, and a late submission deduction of 10 percent point per day will be assessed up to three (3) days. [Example: If the discussion forum post is late one day, there is a one (1) point deduction per day. If the case study is late one day, there is a ten (10) point deduction per day.] The total numerical grade of this course is 100 points. Work submitted late without an excuse acceptable to the instructor after three days will be graded as a permanent zero for that assignment.

Total Points
• Quizzes = 10 points each
• Forums = 10 points each
• Assignments = 15-30 points each
• Technical Brief = 20 points
• Case Study = 100 points
• Final Exam = 100 points

<table>
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<tr>
<th>Component</th>
<th>Points</th>
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<tbody>
<tr>
<td>6 Quizzes</td>
<td>60</td>
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<tr>
<td>6 Discussion Forums</td>
<td>60</td>
</tr>
<tr>
<td>3 Assignments</td>
<td>60</td>
</tr>
<tr>
<td>1 Technical Brief</td>
<td>20</td>
</tr>
<tr>
<td>1 Case Study</td>
<td>100</td>
</tr>
<tr>
<td>Final Exam</td>
<td>100</td>
</tr>
<tr>
<td>Total = total points earned</td>
<td>400</td>
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</tbody>
</table>

LSU GRADING SCALE
The following grading scale applies:

97%–100% = A+
93%–96% = A
90%–92% = A-
87%–89% = B+
83%–86% = B
80%–82% = B-
77%–79% = C+
73%–76% = C
70%–72% = C-
67%–69% = D+
63%–66% = D
60%–62% = D-
0%–59% = F

Minimum Requirement for the Performance in this Course
LIS 7604, Principles of Records Management, is a core course for the graduate Certificate in Records and Information Management (CRIM) at SLIS. If the student is enrolled in the CRIM program, she/he must complete this core course with a B or higher grade. If the student does not receive at least a B, she/he must repeat the course as soon as possible. The student may repeat the course only once. Failure to pass the course with a B or higher will result in expulsion from the program. Remember that the student must maintain a 3.0 average every semester in order to remain in good standing with the Graduate School.
Quizzes

Content
Each module contains a short quiz that will test your knowledge of the information covered in the readings/videos/module resources. Click on the quiz title in each module, read the instructions, and begin your attempt.

Due Dates
Quizzes must be completed by Friday at 11:55 pm (CST).

Timing and Takes
You can take the quiz 2 times, and you will be given between 10 to 15 minutes depending on the number of questions.

Each quiz is worth 10 points.

Grading and Review
Your quiz will be automatically graded, and you will see your score after you submit. You will be able to review your answers when you are finished.
Discussion Forums

Description
In each module, you will participate in a discussion forum where you will be required to start a discussion and then respond to at least 2 of your classmates. Click on the forum title in each module, where you will find a prompt asking you to demonstrate critical thinking about the concepts presented in the module. Each discussion forum activity (original post plus responses) is worth 10 points.

Submission Guidelines
The instructor will design and post a series of class discussion forums based on the contents taught. Class discussions are a highly interactive component of this course. You will have chances to make any comments about the instructor’s postings, and fellow classmates’ ideas and work. Your contributions should be thoughtful and concise. In many, if not most cases, a good original discussion post will address the discussion prompt/topic fully, draw on relevant literature, and incorporate personal reflections, experiences and constructive suggestions if applicable. Such a post would be at least 300 words. Also, you are encouraged to reply or make comments on other students’ posts. A good reply or comment post will approve or dispute a point, provide additional information or evidence, and/or ask further question(s). Such reply or comment posts should be approximately 150-250 words. Short posts, such as “this looks perfect”, “I agree”, or “me too”, will not count as a fully developed post/response. On each class discussion forum, every student is required to do at least two (2) reply/comment posts. You will not be able to see your classmates’ posts until you respond to your instructor’s original question prompt. Once you have posted, you will see others’ posts and will be able to respond.

To maintain a healthy and professional discussion atmosphere, everyone is expected to keep the conversations civil, constructive and respectful of all class members at all times.

Due Dates
First posts are always due on Thursday by 11:55 (23:55) pm, CST and responses to peers are due on Saturday of that week at 11:55 (23:55) pm, CST.

Grading Rubric
The following rubric is a sample of what is expected in both original and response posts.

Rubric for Discussion Forums.
Assignments

There are several assignments for you to complete throughout the course. Each module has detailed instructions for completing the assignment. Assignments are due on Friday at 11:55pm (CST).

All written assignments are to use the following formats: Times Roman, 12 pt. double spaced; .doc (word) or pdf.

In module 2, you will prepare a records inventory and apply retention. This assignment will have 4 sections with a 30 points total: Introduction/analysis of functions (5 points), survey forms (10 points), retention forms (10 points), and summary/conclusion (5 points).

In module 3, you will write a technical brief. You will select from two topic questions to answer. The brief will consist of a short paper from one to no more than three double-spaced pages of discussion. Each brief must be supported by at least three references. Please identify the technical brief topic at the top of your paper. Details of the topics will be provided when they are distributed. (20 points)

In module 4, you will complete a wet paper exercise and write a essay on disaster preparedness. The essay will consist of a short paper from one to no more than three double-spaced pages of discussion. The essay must be supported by at least three references. (15 points)

In module 5, you will write a review of one video from NARA's records management training section. The review will consist of a short paper from one to no more than three double-spaced pages of discussion. The review must be supported by at least three references. (15 points)

Grading Rubric

Please review the Grading Rubric for assignments and technical brief.

Directions

Click on the assignment title in the module, where you will find instructions for completing and submitting your assignment.
Case Study

Purpose of the Assignment

Case study is a typical teaching and learning method in the records management field. It provides rich, detailed descriptions about what happened. A business case could be a story about individuals and/or organizations or a successful story to highlight a best practice. Or, it can be a distinguished failure to bring attention to some particular challenges or difficulties posed to an individual or an organization. Hence, case study is an excellent tool to sharpen students' problem-identification and problem-solving skills.

A case is made available on the course website and will be due in Module 6. Additional information regarding the case will be given when it is officially distributed.

The case study will be worth **100 points** and makes up 25% of the whole final grade of the course.

To assess the Case Study, the following evaluation criteria will be used:

Completeness:
- The extent to which the required components are addressed accurately and fully

Quality of writing:
- The extent to which the student uses simple and precise language to write this assignment
- The extent to which the student organizes the assignment in a logical and coherent manner
- The extent to which the student employs correct spelling, punctuation, and grammar

Quality of analysis:
- The extent to which the response is thoughtful, thorough, and well justified
- The extent to which the response demonstrates good skills in critical thinking

Grading Rubric


**Grading Table**

<table>
<thead>
<tr>
<th>Assignment Criteria</th>
<th>Maximum Points</th>
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<tbody>
<tr>
<td>Completeness</td>
<td>25</td>
</tr>
<tr>
<td>Quality of writing</td>
<td>25</td>
</tr>
<tr>
<td>Quality of analysis</td>
<td>30</td>
</tr>
<tr>
<td>Total</td>
<td>100</td>
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</tbody>
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Course Syllabus for LIS 7604 Principles of Records Management

Final Exam

Carefully read the following information, which is also listed in the exam module in your course. Navigate to this exam module when you are ready to take your exam.

On Saturday, October 9, 2021 at 9 am (CDT) the instructor will have the Final Exam available online. The final exam will be based on all the materials covered in the class. You will be able to access the online test any time between Saturday October 9, 2021 at 9 am and Monday October 11 at 1 pm (CDT). However, you can take this exam with only one attempt. Once you open the exam, you must finish and submit it within 90 minutes. The Moodle system will automatically record and report to the instructor how much time you use to complete the exam. The exam that is taken more than 90 minutes will not be accepted. Therefore, you must well manage your time to meet this requirement. The exam will be a closed-book test consisting of 100 questions in multiple-choice, true/false and matching formats, taking up 25% of the whole final grade of the course.
Course Policies

The following policies apply throughout your enrollment in this course. For specific questions about these policies, please contact either your instructor or the appropriate office listed in the subchapters.
Engagement and Participation

Please check into your Moodle course frequently to keep track of your work. Participation obligations involve specific points of engagement in course activities and interaction with other course members. Your active engagement in discussion forums or other required collaborative activities constitutes your participation in this course. You are expected to contribute and collaborate according to the requirements of the specific activities and assessments described in this syllabus.

Timely communication is an e-learning best practice. Check your LSU email and the News and Announcements Forum on the course front page daily to make sure you do not miss any communications from your instructor or classmates. Contact the instructor in advance if you are going to miss an assignment or turn in work late. This will give the instructor sufficient time to allow for discussing an alternative schedule.

Student work submitted late without an excuse acceptable to the instructor will be downgraded, and a late submission deduction of two (2) points per day will be assessed up to seven (7) days. Work submitted late without an excuse acceptable to the instructor after seven days will be graded as a permanent zero for that assignment.
Academic Integrity

Academic Misconduct
Students in LSU Online courses must comply with the LSU Code of Student Conduct. Academic misconduct includes but is not limited to cheating, plagiarism, collusion, falsifying academic records, and/or any act designed to give unfair academic advantage to the student. Preventing academic misconduct requires learners to take ownership of their individual work for individual assignments and assessments. Learners who violate the LSU Code of Student Conduct will be referred to Student Advocacy & Accountability. For undergraduate students, a first academic violation could result in a zero grade on the assignment or failing the class and disciplinary probation until graduation. For a second academic violation, the result could be suspension from LSU. For graduate students, suspension is the appropriate outcome for the first offense. To read more, please visit the LSU Code of Student Conduct page.

Plagiarism and Citation Method
It is your responsibility to refrain from plagiarizing the academic property of another and to utilize appropriate citation method for all coursework. In this class, it is recommended that you use APA, Chicago or MLA. Ignorance of these citation methods is not an excuse for academic misconduct. Remember, there is a difference between paraphrasing and quoting and how to properly cite each respectively.

One tool available to assist you in correct citations is the “References” function in Microsoft Word. This program automatically formats the information you input according to the citation method you select for the document. This program also has the ability to generate a reference or works cited page for your document. The version of Microsoft Word with the “References” function is available in most University computer labs. A demonstration of how to use this tool is available online at the LSU Student Advocacy & Accountability page.

Unauthorized Assistance
All work must be completed without assistance unless explicit permission for group or partner work is given by the faculty member. This is critical so that the professor can assess your performance on each assignment. Read the syllabus and assignment directions carefully. When in doubt, e-mail your instructors or ask in a discussion forum. Seeking clarification is your responsibility as a student. Assuming group or partner work is okay without permission constitutes a violation of the LSU Code of Student Conduct.
Accessibility

A learner with a disability is entitled by law to equal access to university programs. Two federal laws protect persons with disabilities in post-secondary education: the Rehabilitation Act of 1973 (Pub. L. No. 93-112, as amended), the 1990 Americans with Disabilities Act (Pub. L. No. 101-336) and the ADA Amendments Act (Pub. L. No.110-325). LSU A&M is committed to ensuring that its websites, online courses, and all online materials are accessible to people with disabilities.

If you have accessibility needs that we can help with, visit the LSU Disability Services page and register for accommodations before you begin your course work.

If you notice that your course contains material that is not accessible, please contact your instructor directly to discuss accommodations.
Communication in the online classroom comes across differently than the communication we are accustomed to through academic writing and face-to-face classroom discussion. Use online etiquette guidelines like the ones listed in the document below to craft your communication.

You can also read *The Core Rules of Netiquette* by Virginia Shea (1994) to understand the human aspect of online communication.
Diversity Statement

Diversity is fundamental to LSU's mission and the University is committed to creating and maintaining a living and learning environment that embraces individual difference. Cultural inclusion is of highest priority. LSU recognizes that achieving national prominence depends on the human spirit, participation, and dedicated work of the entire university community. Through its Commitment to Community, LSU strives to create an inclusive, respectful, intellectually challenging climate that embraces individual difference in race, ethnicity, national origin, gender, sexual orientation, gender identity/expression, age, spirituality, socioeconomic status, disability, family status, experiences, opinions, and ideas. LSU proactively cultivates and sustains a campus environment that values open dialogue, cooperation, shared responsibility, mutual respect, and cultural competence—the driving forces that enrich and enhance cutting-edge research, first-rate teaching, and engaging community outreach activities.