Board of Regents (BOR) Overview
Public Health Service (PHS) Revised Financial Conflict of Interest Policy
OSP Updates and Reminders
Q & A
### Board of Regents Support Fund Programs

<table>
<thead>
<tr>
<th>Program</th>
<th>Program Objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Competitiveness Subprogram (RCS)</td>
<td>Targets junior researchers on the threshold of becoming nationally competitive</td>
</tr>
<tr>
<td></td>
<td>(1 to 3 years; up to $200,000)</td>
</tr>
<tr>
<td>Industrial Ties Research Subprogram (ITRS)</td>
<td>Funds research with potential for economic development and private sector involvement</td>
</tr>
<tr>
<td></td>
<td>(1 to 3 years; up to $350,000)</td>
</tr>
<tr>
<td>Enhancement (ENH)</td>
<td>Supports projects that will enhance the infrastructure of academic or research departments/units</td>
</tr>
<tr>
<td></td>
<td>(1-2 years; $5,000 to $1,000,000)</td>
</tr>
<tr>
<td>Awards to La. Artists and Scholars (ATLAS)</td>
<td>Provides support for the completion of original works of art and scholarship</td>
</tr>
<tr>
<td></td>
<td>(1 year; up to $50,000)</td>
</tr>
<tr>
<td>Grad Fellows (GF/GFT)</td>
<td>Provides support for superior students seeking graduate degrees within targeted disciplines</td>
</tr>
<tr>
<td></td>
<td>(varies according to degree program)</td>
</tr>
</tbody>
</table>

### Board of Regents Support Fund Programs

<table>
<thead>
<tr>
<th>Program</th>
<th>2012 Eligible Disciplines</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Competitiveness Subprogram (RCS)</td>
<td>Sciences: Biological, computer/information, earth/environmental, health/medical, chemistry</td>
</tr>
<tr>
<td></td>
<td>Engineering B: industrial, materials, mechanical</td>
</tr>
<tr>
<td>Industrial Ties Research Subprogram (ITRS)</td>
<td>Medical and biomedical, micromanufacturing, data and telecommunications, environmental technologies, food technologies, materials, existing industry (i.e. petrochemical, agribusiness), La. culture and history</td>
</tr>
<tr>
<td>Enhancement (ENH)</td>
<td>Sciences: Agricultural, earth/environmental, health/medical</td>
</tr>
<tr>
<td></td>
<td>Engineering A: chemical, civil, electrical</td>
</tr>
<tr>
<td></td>
<td>Arts</td>
</tr>
<tr>
<td>Awards to La. Artists and Scholars (ATLAS)</td>
<td>Arts</td>
</tr>
<tr>
<td></td>
<td>Humanities</td>
</tr>
<tr>
<td></td>
<td>Social Sciences</td>
</tr>
<tr>
<td>Grad Fellows (GF/GFT)</td>
<td>Sciences: agricultural, biological, computer/information, health/medical, chemistry, physics/astronomy</td>
</tr>
<tr>
<td></td>
<td>Engineering: all</td>
</tr>
<tr>
<td></td>
<td>Business, Education, Mathematics</td>
</tr>
<tr>
<td></td>
<td>GFT - Mathematics and Sciences</td>
</tr>
</tbody>
</table>
Special Budgetary Considerations

- Budget spreadsheets for each program are available on OSP website.
- Each BoRSF program has unique requirements regarding matching funds. See RFP for details.
- Additional institutional match may be allowed because under BoRSF programs, consultants may consider match when making funding recommendations.

Special Budgetary Considerations, cont.

- Since BoR limits F&A on Support Fund programs, the spreadsheets will automatically calculate unrecovered F&A as institutional match.
- BoRSF disallows GA fringe and tuition as requested funds but allows them as match. If support for a GA is requested, the spreadsheet will automatically calculate unrecovered GA fringe and tuition as institutional match.
Any additional institutional match must be funded through Departmental or College operating budgets.

Post-award shortfalls in obligated match caused by rebudgeting (i.e. reduction in support of a GA or reductions that impact unrecovered F&A) will be the responsibility of the department.

<table>
<thead>
<tr>
<th>Program</th>
<th>Routing Guidelines</th>
</tr>
</thead>
<tbody>
<tr>
<td>Research Competitiveness Subprogram (RCS)</td>
<td>NOI submitted via LOGAN</td>
</tr>
<tr>
<td></td>
<td>Must be routed in SPS as “Preproposal/NOI” (transaction 1)</td>
</tr>
<tr>
<td></td>
<td>Proposal routed as transaction 2 on same number</td>
</tr>
<tr>
<td>Industrial Ties Research Subprogram (ITRS)</td>
<td>NOI submitted via LOGAN</td>
</tr>
<tr>
<td></td>
<td>Must be routed in SPS as “Preproposal/NOI” (transaction 1)</td>
</tr>
<tr>
<td></td>
<td>Proposal routed as transaction 2 on same number</td>
</tr>
<tr>
<td>Enhancement (ENH)</td>
<td>No NOI required</td>
</tr>
<tr>
<td></td>
<td>Proposal only routed as transaction 1 in SPS</td>
</tr>
<tr>
<td>Awards to La. Artists and Scholars (ATLAS)</td>
<td>NOI submitted via LOGAN</td>
</tr>
<tr>
<td></td>
<td>Must be routed in SPS as “Preproposal/NOI” (transaction 1)</td>
</tr>
<tr>
<td></td>
<td>Proposal routed as transaction 2 on same number</td>
</tr>
<tr>
<td>Grad Fellows (GF/GFT)</td>
<td>Internal NOI submitted as email to <a href="mailto:osp@lsu.edu">osp@lsu.edu</a></td>
</tr>
<tr>
<td></td>
<td>Proposal only routed as transaction 1 in SPS</td>
</tr>
</tbody>
</table>
### BoRSF NOI/Proposal Deadlines

<table>
<thead>
<tr>
<th>Program</th>
<th>Relevant Deadlines</th>
</tr>
</thead>
</table>
| Research Competitiveness Subprogram (RCS)   | Sept. 4  
Sept. 11 
Oct. 22 
Nov. 7  
NOI to OSP  
NOI to BoR  
Proposal to OSP  
Proposal to BoR |
| Industrial Ties Research Subprogram (ITRS)  | Sept. 4  
Sept. 11 
Oct. 15 
Oct. 31  
NOI to OSP  
NOI to BoR  
Proposal to OSP  
Proposal to BoR |
| Enhancement (ENH)                            | Oct. 8  
Oct. 24  
Proposal to OSP  
Proposal to BoR |
| Awards to La. Artists and Scholars (ATLAS)   | Oct. 3  
Oct. 10 
Nov. 5 
Nov. 21  
NOI to OSP  
NOI to BoR  
Proposal to OSP  
Proposal to BoR |
| Grad Fellows (GF/GFT)                        | Oct. 10  
Oct. 29 
Nov. 14  
NOI to OSP  
Proposal to OSP  
Proposal to BoR |

### PHS Revised Financial Conflict of Interest (FCOI)

- **Revised Public Health Service (PHS) FCOI policy 42 CFR Part 50 Subpart F.**
  - Effective August 24, 2012
  - Notable PHS agencies include:
    - National Institutes of Health (NIH)
    - Food and Drug Administration (FDA)
    - Centers for Disease Control and Prevention (CDC)
    - Agency for Healthcare Research and Quality (AHRQ)
    - Administration of Child and Families (ACF)
    - US Department of Health & Human Services (DHHS)

- LSU Policy Statement 98 (PS-98) has been revised to comply with PHS FCOI regulations
Main Changes to PHS regulations
- Financial disclosure thresholds lowered ($10k to $5k)
- New Mandatory Conflict of Interest Training
- Increased transparency for travel reimbursements
- New Public Accessibility requirements
- Additional reporting requirements

Investigator (as defined by policy)
- Any individual responsible for the design, conduct, or reporting of sponsored research, or proposals for such funding.
- Not limited to PI and Co-PIs.
- May also include postdoctoral associates, senior scientists, graduate students, collaborators or consultants as appropriate.
- PI must determine who is considered an Investigator.

Family (as defined by policy)
- Member of Investigator’s immediate family, specifically, any dependent children and spouse.
PHS FCOI - Mandatory Training

- PHS funded Investigators must complete FCOI training. An online training module is available through the Collaborative Institutional Training Initiative (CITI) at https://www.citiprogram.org/.
- Training must be completed at least every four years.

PHS FCOI - Reporting Requirements

- Time of Application
  - Principal Investigator (PI) must indicate on SPS coversheet whether there are any Significant Financial Interests (SFI).
    - Check box if any Investigator has a SFI
    - If SFI exists, Significant Financial Interest Disclosure Form should be sent to OSP.
  - PI and Co-PI also certify when approving in SPS that the project is in conformance with PS-98.

Grant Coordinators who create proposals in SPS for PIs should check with the PI regarding SFI.
Prior to Award Acceptance
- All Investigators must complete a Significant Financial Interest Disclosure Form and provide to OSP.
- All Investigators must provide completion certificate for FCOI Training.

Disclosures must also be made
- At least annually and
- Within 30 days of discovering or acquiring a new SFI

Disclosure Forms must be signed by Investigator. No “For” signatures.

If disclosure form reveals SFI, it will be reviewed by Institutional Official for a determination of whether it constitutes a Financial Conflict of Interest (FCOI).
- FCOI will exist when Institutional Official determines the SFI could directly and significantly affect the design, conduct or reporting of research funded by PHS.
- When FCOI exists, written management plan must be approved before any related research goes forward or any funds can be expended.
- OSP will report FCOI as required.
PHS FCOI - Subrecipients

- PHS Letter of Collaboration template to be used at time of proposal.
- Subrecipient must comply with either:
  - the subrecipient’s FCOI policy (certifying that it is in compliance with PHS requirements)
  - LSU’s policy
- Subrecipients following their own policy must report all identified FCOI to LSU for reporting to PHS.
- Subrecipients following LSU policy must submit Significant Financial Interest Disclosure Forms to LSU.

PHS FCOI - Resources

- LSU Implementation
  [Link]
  - Questions about the LSU policy should be directed to Associate Vice Chancellor Matthew Lee

- NIH FAQs
  [Link]
  - Includes link to policy and FAQs related to policy.
OSP Updates

- Subaward Changes
- Limited Submissions

Subaward Changes

- New flexibility as a result of the LaGrad Act:
  - LSU can now approve subawards/subcontracts in an amount not to exceed $49,999 without needing approval from the Official of Contractual Review (OCR).

- OSP has revised the subaward/subcontract templates to include information from the Purchasing’s PUR-SS (Scope of Services) form.
  - PUR-SS forms will no longer be needed.
Limited Submissions

- ORED has new protocols for Limited Submissions
  - Letter of Intent is due one week before internal pre-proposal deadline
  - Deadline for limited submission internal proposals on ORED website.
  - If a limited submission program is not on ORED website, then contact one of the Associate VCs.
  
  http://research.lsu.edu/FundingResources/LimitedSubmissionPrograms/item31375.html

Questions
OSP Contact Information

Location: 202 Himes Hall
Website: www.lsu.edu/osp
Phone: 578-2760
Fax: 578-2751
Email: osp@lsu.edu

Rebecca Trahan: rtrahan@lsu.edu
Gretchen Rhodes: gstein@lsu.edu