Training Session Overview

- National Science Foundation Updates
- National Institutes of Health Updates
- Department of Energy Update
- Executive Order 12372
- Board of Regents Updates
- OSP Updates
- Q & A
NSF Updates

New Deadline Warning

Proposal Warnings

- Less than 24 hours left for proposal submission deadline • There are less than 24 hours left to submit this proposal per the submission deadline date (2/11/2016) as established in the applicable funding opportunity. Per the Grant Proposal Guide, proposals submitted after 5 PM submitter’s local time on the established deadline date will not be accepted.

*Source: NSF FastLane

New Error when proposal is past the deadline.
NSF FastLane Compliance Checks

- If submitting after the deadline, contact Program Officer and request authorization to submit a late proposal.
  - This includes when submitting late due to Anthropogenic Disasters (e.g. hurricanes)
  - Generally, NSF allows a 5 business day extension.
  - Must also check the “Special Exception to the Deadline Date Policy” box on the NSF Cover Sheet.

*Source: NSF Fastlane

If the budget includes foreign travel, the Cover Sheet must have International Activities checked or a warning is generated.
- If travel is for an international conference and the specific location is unknown, enter “Worldwide”.

No warning or error is generated if the new Collaborators and Other Affiliations attachment is not included.

Some Program Solicitations include the following post submission requirement:

- OSP does not submit this document.
- PI should complete, add FastLane proposal ID provided by OSP after submission and submit to NSF.

For linked collaborative proposals:

- If one collaborator withdraws their institution’s proposal in FastLane, FastLane automatically withdraws all linked proposals.
- The institutions that did not withdraw the proposal are NOT notified that their proposal was withdrawn.

When LSU is withdrawing a collaborative proposal, we will seek confirmation from the other institutions before withdrawing.
Postdoctoral Researcher Mentoring Plan and Rebudgeting

- Proposal Stage:
  - If a postdoctoral researcher is in the budget, a Postdoctoral Mentoring Plan is required.

- Award Stage:
  - Awards issued both under the Research Terms and Conditions and the Uniform Guidance (2 CFR 200) do not require NSF prior approval to rebudget to add a postdoc (unless this changes the scope).
  - Per NSF 15-1 and 16-1 Award & Administration Guides Chapter V.A.3.a., if rebudgeting to add a postdoc the grantee must send the NSF Program Officer a postdoctoral researcher mentoring plan when funds are used to support a postdoctoral researcher and the original proposal did not include a mentoring plan.
  - PI can submit this directly to Program Officer.

NIH Updates
NIH Salary Cap increased

NOT-OD-16-059

- Effective 01/10/2016, the NIH salary cap limit, Executive Level II of the Federal Executive Pay Scale has increased:
  - $185,100 for 12 month appointment
  - $138,825 for 9 month appointment
  - $15,425/month

- No adjustments will be made to current awards
  - You may rebudget to accommodate the current Executive Level II salary level.

- New and competing awards with detailed budgets reflecting salary levels at or above the new cap, will be adjusted to reflect the new cap.

NIH Forms-D Application Guide

NOT-OD-16-004, NOT-OD-16-081, NOT-OD-16-084

- Effective for due dates on or after May 25, 2016.
- Continue to use the Forms-C application guide for proposals due on or before May 24, 2016.

- New Application Guides are available
  - General Instructions
  - Supplement Instructions for Human Subjects
  - Separate guides for R (Research), K (Career Development), T (Institutional Training), F (Individual Fellowships), Multi-Project, SBIR/STTR proposals
  - Guides are in the same order as application package!!!

- New Parent Announcements

- Sample NIH Grants.gov Adobe package version D with LSU information and tips on OSP Grants.gov website.
**NIH Forms-D: What’s New?**

- PHS 398 Cover Page supplement:
  - New section to complete when Vertebrate Animals are included.

- PHS 398 Research Plan Form:
  - New Authentication of Key Biological and/or Chemical Resources attachment
  - New Data Safety Monitoring Plan attachment for clinical trials
  - Continued implementation of requirements to address Rigor and Transparency

- New PHS Inclusion Enrollment report for human subject research

- New optional PHS Assignment Request Form to provide consistent way to request institute and study section preference, potential reviewer conflicts, and list of scientific expertise needed to review the application. (see NOT-OD-16-008)

- New Font Guidelines (see NOT-OD-16-009)
- Biosketch Clarifications (see NOT-OD-16-080)
- Changes to training grant proposals.

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**Research Performance Progress Report (RPPR)**

NIH NOT-OD-16-031

- RPPR instruction guide has been updated to address rigor and transparency.

- Section B - Accomplishments
  - B.2 - What was accomplished under these goals? Include the approaches taken to ensure robust and unbiased results.
  - B.6 - What do you plan to do for the next reporting period to accomplish these goals? Discuss efforts to ensure that the approach is scientifically rigorous and results are robust and unbiased.
New Prior Approvals coming to eRA Commons

- Soon PIs can request Prior Approvals through eRA Commons
  - Estimated for late Spring.

- Approval to submit a proposal with direct costs of over $500k in any budget year.

- Requests to withdraw applications
  - OSP will submit.

Department of Energy

PAMS
Portfolio Analysis and Management System (PAMS)

The following notifications/requests are submitted in PAMS:
- No-cost extension notifications (for grantee approved NCEs)
- No-cost extension requests
- Change in PI
- PI Departure notification

PAMS is also used for:
- Submission of Notices of Intent/Preproposals by PI
- Submission of Renewal Proposal Products by PI (Due 5 days after Grants.gov submission)
- Proposal withdrawals
- Submission of revised budgets prior to award by OSP
- Progress report submission by the PI
Executive Order 12372

- The Order provides each state the option to review proposals submitted to the federal government.

- Louisiana has opted to review only proposals submitted to the Environmental Protection Agency.
  - Reviewing for environmental impacts

- Once the proposal is submitted to the EPA, OSP will submit a copy to the Single Point of Contact for the state for review.

For EPA proposals, #19a on the SF 424 should be checked.

Enter the proposal due date. OSP will update as needed.

*Source: Grants.gov SF 424 Application Package*
**Executive Order 12372**

- For other sponsors, review the Funding Opportunity to see if Executive Order 12372 applies.
- If yes, on SF424 answer 19.b or on SF424(R&R) answer 16.b, Program has not been Selected by State for Review.
- If no, on SF424 answer 19.c or on SF424(R&R) answer 16.b, Program is not Covered by E.O. 12372 on SF 424 R&R.

**16. IS APPLICATION SUBJECT TO REVIEW BY STATE EXECUTIVE ORDER 12372 PROCESS?**

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<td>DATE: [ ]</td>
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<td>b. NO</td>
<td>X PROGRAM IS NOT COVERED BY E.O. 12372; OR</td>
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<td>□ PROGRAM HAS NOT BEEN SELECTED BY STATE FOR REVIEW</td>
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*Source: Grants.gov SF 424 (R&R) Application Package*
BoR Recommendations

- Support Fund Recommendations posted to BoR Office of Sponsored Programs website.

- All PI’s recommended for funding should review the funding information for their proposals.

- PI’s must return the PI Assurance Form to OSP by April 21, 2016 at 4:30pm
  - Via email to osp@lsu.edu (Attention: Michelle Pennington)
  - Hand Delivery to 202 Himes Hall.

- OSP will forward all responses to BoR by the April 25th deadline.

- Recommendations are not a guarantee of funding.

BoR Contracts

- Board is expected to act on recommendations at its April 27, 2016 meeting.

- Draft contracts will then be sent to OSP.

- OSP will send PI’s and coordinators:
  - Draft contract
  - BoR contract instructions
  - PI Assurance Form - Appendix A
  - Template for Appendix B Work Plan and Timeline
  - Excel file for budget which includes signature lines.
    - If no funding cuts, proposal budget must be reentered in this file which requires PI signature.
    - If funding is reduced, update budget based on funding recommendations. Budget justification must also be revised.
    - If funding is reduced, cost sharing of unrecovered F&A should be reduced proportionally.
  - BoR Support Fund OSP-1 Form

- Contracts (including budget pages) require original signatures from PI’s.
BoR Prior Approval Requests

- No-cost extensions and rebudgeting requests for contracts ending June 30, 2016 are due to BOR by April 29, 2016.
- Request letters must be sent to OSP for institutional approval prior to submission to BOR.
  - Via email to osp@lsu.edu with OSP-2 form
  - Route in SPS as Modification.
- OSP will secure necessary approvals, counter-sign request and email to the BOR.
- Requests are due to OSP by Wednesday April 20, 2016.
- Letters should be addressed to the program officer:
  - Bryan Jones, Enhancement
  - Zenovia Simmons, RCS and ITRS
  - Carrie Robison, ATLAS and Grad Fellows
New OSP Forms

- OSP-1 Form
  - New PI certification

  PI, Department Chair/Unit Director and Dean (if required by college policy) certify by signature below that the action request(s) is acceptable. PI further certifies that he/she agrees to serve as the primary individual responsible for the technical progress, scientific integrity and fiscal and administrative management throughout the period of the award and agrees to manage and control project funds in a prudent manner and expend funds to directly support the project effort in accordance with the restrictions imposed by the award terms and conditions and University policy.

- OSP-2 Form
  - Changed Sponsor Prior Approval request in Section A from “Reduction in PI/Co-PI/Senior/Key Personnel Effort” to “Reduction/disengagement in PI/Co-PI/Senior/Key Personnel Effort” to coincide with language in the Uniform Guidance

- OSP-3 Form
  - Added Sub Account number field.
  - New PI certification and signature required.

  By signing below, I certify that I have read the following statements and further certify that they are accurate and truthful to the best of my knowledge and belief:
  - The proposed relationship has been reviewed and a determination has been made that the relationship involves a third party to perform a substantive portion of the project, does not constitute a purchased service, and that the most appropriate agreement type is a subaward.
  - The project or relationship with this subrecipient (PI initials) does or does not present an existing or potential for conflict of interest or the appearance of a conflict of interest in accordance with University policy and/or State and Federal Regulations.
  - Funding is available for this subaward and is an allowable cost under the terms and conditions of the Prime Award.
  - The Subrecipients proposed costs have been reviewed by the PI and are considered reasonable for the technical effort proposed by the subrecipient.
  - The information listed on this form is accurate.

  As Principal Investigator, I also acknowledge and accept the responsibility of monitoring the programmatic and financial performance, receiving and reviewing copies of all required financial and performance reports and the overall progress, of the subrecipient under the requested subaward during the life of the agreement.

Signature of Principal Investigator: ___________________________ Date: ___________
New OSP Forms

- OSP-4 Form
  - Added Sub Account number field.
  - New PI certification and signature required.

By signing below, I certify that I have read the following statements and further certify that they are accurate and truthful to the best of my knowledge and belief:

- The action requested on this form is accurate, reasonable and appropriate for the successful completion of the prime award.
- The Subrecipient's proposed costs have been reviewed by the PI and are considered reasonable for the technical effort proposed by the subrecipient (when applicable).
- Funding is available for this action and is an allowable cost under the terms and conditions of the Prime Award (when applicable).
- In the event this action represents a continuation or no-cost extension, I am satisfied with the programmatic progress of the subrecipient.
- Any change to the previously certified conflict of interest has been disclosed to the Office of Sponsored Programs.

Signature of Principal Investigator __________________________ Date ________

New OSP Forms

- For individuals who sign on behalf of PI’s please make sure you have the proper authorization to agree to the certifications on behalf of the PI.

- OSP will except email approvals from PI’s agreeing to the OSP form language.
Revised Subaward Checklist

What’s New?

- Subrecipient vs. Contractor Determination at proposal stage
- Includes Subrecipient Risk Assessment
- Outlines current process for routing subawards for signature.

SPS Cover Page certifications

- Technical glitch in SPS related to Export Control, PM-67, and PS-98 questions on the coversheet.
- OSP is unable to log in modifications for proposals that previously did not have these questions answered.
- Sending email to PI and coordinator to gather information.
SPS Cover Page certifications

- Investigators should be answering compliance questions on cover sheet as they are most familiar with the proposal.

- When departmental grant coordinators are creating SPS proposals, OSP recommends that the coordinator obtain PI confirmation on the Yes/No questions.

SPS and Workday

- Workday does not currently have a preaward system.

- SPS will remain when Workday goes live.

- OSP and University Information Systems are working on process so that SPS and Workday share information.
Professional Development

- National Council of University Research Administrators (NCURA) Region III Spring Meeting
  - April 30 - May 5, 2016
  - Registration deadline is April 28th.

- National Organization of Research Development Professionals (NORDP) Annual Conference (registration open)
  - May 23-25, 2016

- NCURA Annual Meeting (registration open)
  - August 7-10, 2016

- Society of Research Administrators (SRA) International Annual Meeting (registration open)
  - October 22-26, 2016

- NCURA Virtual Pre-award Conference at LSU to be rescheduled.

Questions
OSP Contact Information

Location: 202 Himes Hall
Website: www.lsu.edu/osp
Phone: 578-2760
Fax: 578-2751
Email: osp@lsu.edu

Rebecca Trahan: rtrahan@lsu.edu